

ATTY. DOCKET NO.
22645-7085

SERIAL NO. 10/008,268

LIST OF PATENTS AND PUBLICATIONS FOR
APPLICANT(S)' INFORMATION DISCLOSURE
STATEMENT

(Use several sheets if necessary)



INVENTOR: James M. Kates

FILING DATE: 11/13/01

GROUP ART UNIT: 2643

REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

EXAM'R INITIAL		DOCUMENT NUMBER	DATE	NAME	Class	Subclass	Filing Date If Appropriate
SN	A1	5,771,299	06/23/98	Melanson	381	68.2	06/20/96
	A2	5,448,010	09/05/95	Smith, III	84	622	03/22/93
	A3						

FOREIGN PATENT DOCUMENTS

EXAM'R INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	Subclass	TRANSLAT'N	
							yes	no
	B1							
	B2							
	B3							
	B4							
	B5							

OTHER ART (Include Author, Title, Date, Pertinent Pages, etc.)

	C1	
	C2	
	C3	
	C4	
	C5	
	C6	
	C7	
	C8	
	C9	
	C10	

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JAN 09 2003

Technology Center 2600

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant(s).



FORM PTO-1449 (Modified Nov-11) LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT(S)' INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)	ATTY. DOCKET NO. 22645-7085	SERIAL NO. 10/008,268
	INVENTOR: James M. Kates	
	FILING DATE: 11-13-01	GROUP ART UNIT: 2643

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EXAM'R INITIAL		DOCUMENT NUMBER	DATE	NAME	Class	Subclass	Filing Date If Appropriate
	A1						
	A2						

FOREIGN PATENT DOCUMENTS

EXAM'R INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	Subclass	TRANSLAT'N	
							yes	no
	B1							
	B3							

OTHER ART (Include Author, Title, Date, Pertinent Pages, etc.)

SN	C1	A. Harma, et al. "Frequency-Warped Signal Processing for Audio Applications", J. Audio Eng. Soc., Vol. 48, No. 11, November, 2000, pp. 1011-1031
	C2	
	C3	
	C4	
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	C6	
	C7	
	C8	

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EXAMINER SN	DATE CONSIDERED 2/14/02
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant(s).	

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FORM PTO-1449 (Modified [6-1])

LIST OF PATENTS AND PUBLICATIONS FOR
APPLICANT(S)' INFORMATION DISCLOSURE
STATEMENT

(Use several sheets if necessary)

ATTY. DOCKET NO.
22645-7085SERIAL NO.
New Application

INVENTOR: James M. Kates

FILING DATE: Herewith

GROUP ART UNIT
UnknownJCEB U.S. PTO
10/008268

REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

EXAM'R INITIAL		DOCUMENT NUMBER	DATE	NAME	Class	Subclass	Filing Date If Appropriate
SN	A1	4,689,818	08/25/87	Ammitzboll	381	68	04/28/83
	A2	4,731,850	03/15/88	Levitt, et al.	381	68.2	06/26/86
	A3	5,016,280	05/14/91	Engebretson, et al.	381	68	03/23/88
	A4	5,019,952	05/28/91	Smolenski, et al.	363	16	11/20/89
	A5	5,091,952	02/25/92	Williamson, et al.	381	68.2	11/10/88
	A6	5,259,033	11/02/93	Goodings, et al.	381	68	07/09/92
	A7	5,402,496	03/28/95	Soli, et al.	381	94	07/13/92
	A8	5,940,519	08/17/99	Kuo	381	71.11	12/17/97
	A9	6,072,844	06/06/00	Kates	381	318	11/18/97
	A10	6,104,822	08/15/00	Melanson, et al.	381	320	08/06/97
SN	A11	6,236,731	05/22/01	Brennan, et al.	381	316	04/16/98

FOREIGN PATENT DOCUMENTS

EXAM'R INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	Subclass	TRANSLAT'N	
							yes	no
	B1							
	B2							

OTHER ART (Include Author, Title, Date, Pertinent Pages, etc.)

	C1	
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